



## LYKIS LIMITED

Corporate Office : Grandeur Bldg., 4<sup>th</sup> Floor, Veera Desai Road, Opp Gundecha Symphony, Near Country Club, Andheri - West, Mumbai - 400 053.  
Registered Office : 7, Surendra Mohan Ghosh Sarani (Mangoe Lane), 1st Floor, Room No.-105, West Bengal, Kolkata- 700001, India  
Tel.: (+9122) 4069 4069 • Website : www.lykis.com, info@lykis.com • CIN NO. L74999WB1984PLC038064

**May 26, 2023**

**To,  
The Manager,  
Department of Corporate Services,  
BSE Limited,  
P.J.Towers, Dalal Street,  
Mumbai – 400 001.**

**Scrip Code: 530689**

**Subject: Annual Secretarial Compliance Report.**

Dear Sir/ Madam,

Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019 please find enclosed 'Annual Secretarial Compliance Report' of the Company for the year ended March 31, 2023.

Kindly take the same on your records and oblige.

Thanking You,

Yours Truly,

**For Lykis Limited**

**Darshana Sawant  
Company Secretary and Compliance Officer**

*Encl.: As above*

# SCP & CO.

## Company Secretaries

### SECRETARIAL COMPLIANCE REPORT OF LYKIS LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2023

*[Under Regulation 24(A) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015]*

I **SCP & CO.** have examined:

- a) all the documents and records made available to us and explanation provided by **LYKIS LIMITED** ("the listed entity") having **CIN: L74999WB1984PLC038064**
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31<sup>st</sup> March 2023 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI")
1. The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -
- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the Company during the Audit Period)**
  - c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
  - d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the Company during the Audit Period)**
  - e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable to the Company during the Audit Period)**
  - f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the Company during the Audit Period)**
  - g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable to the Company during the Audit Period)**
  - h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

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## Company Secretaries

i) Securities and Exchange Board of India (Depositories & Participants) Regulation, 2018 (To the extent applicable)

2. Based on various discussions with SEBI, following are the additional affirmations are being provided hereunder:

Sr.No	Particulars	Compliance Status (Yes/No/NA)	Observation / Remarks by PCS
1.	<u>Secretarial Standards:</u>  The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	Yes	-
2	<u>Adoption and timely updation of the Policies:</u> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI	Yes	-
3.	<u>Maintenance and disclosures on Website:</u> <ul style="list-style-type: none"><li>• The Listed entity is maintaining a functional website</li><li>• Timely dissemination of the documents/ information under a separate section on the website</li><li>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website</li></ul>	Yes	
4.	<u>Disqualification of Director:</u> None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013	Yes	
5.	<u>To examine details related to Subsidiaries of listed entities:</u>  (a) Identification of material subsidiary companies (b) Requirements with respect to disclosure of material as well as other	NA	

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## Company Secretaries

	subsidiaries		
6.	<p><u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015</p>	Yes	
7.	<p><u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors, and the Committees at the start of every financial year as prescribed in SEBI Regulations</p>	Yes	
8.	<p><u>Related Party Transactions:</u></p> <p>(a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee</p>	<p>a) Yes b) Not Applicable</p>	Since, all Related party transactions were entered after obtaining prior approval of audit committee point (b) is not applicable
9.	<p><u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.</p>	Yes	
10.	<p><u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) &amp; 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015</p>	Yes	
11.	<p><u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder</p>	No action was taken/ required to be taken	
12.	<p><u>Additional Non-compliances, if any:</u></p>	None	

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## Company Secretaries

No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.		
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3. based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations /circulars/guidelines Including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
None										

(b) The listed entity has taken the following actions to comply with the observations made in previous report.

Sr. No.	Compliance Requirement (Regulations /circulars/guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
None										

For SCP & Co.

Company Secretaries

SWAPNIL  
CHANDRAKANT  
PANDE

Digitally signed by SWAPNIL  
CHANDRAKANT PANDE  
Date: 2023.05.25 18:38:38  
+05'30'

Swapnil Pande R

M.NO A44893 C.P.No 21962

Peer Review Certificate No: 1958/2022

Place: Mumbai

Date: 25-05-2023

UDIN: A044893E000381559