



LYKIS LIMITED

Corporate Office: Grandeur Bldg., 4th Floor, Veera Desai Road, Opp Gundecha Symphony, Near Country Club, Andheri - West, Mumbai - 400 053.
Registered Office: 57B, C.R. Avenue, 1st Floor, Kolkata - 700 012
Tel.: (+9122) 4069 4069 website: www.lykis.in
CIN NO. L74999WB1984PLC038064

June 26, 2021

To,
The Manager,
Department of Corporate Services,
BSE Limited,
P.J.Towers, Dalal Street,
Mumbai – 400 001.

Scrip Code: 530689

Subject: Annual Secretarial Compliance Report of the Company for the year ended March 31, 2021
Ref: SEBI circular CIR/CFD/CMDI/27/2019 dated February 8, 2019

Dear Sir/ Madam,

In terms of Clause 3(b)(iii) of the SEBI Circular No. CIR/CFD/CMDI/27/2019 dated February 08, 2019 please find enclosed 'Annual Secretarial Compliance Report' of the Company for the year ended March 31, 2021.

Kindly take the above intimation on record.

Thanking You,

Yours Truly,

For Lykis Limited



Sucheta Chaturvedi
Company Secretary and Compliance Officer

SCP & CO.

Company Secretaries

SECRETARIAL COMPLIANCE REPORT OF SUMIT WOODS LIMITED FOR THE YEAR ENDED MARCH 31, 2021

*[Under Regulation 24(A) of Securities and Exchange Board of India (Listing
Obligation & Disclosure Requirements) Regulation 2015]*

We **SCP & CO.** have examined:

- a) all the documents and records made available to us and explanation provided by **LYKIS LIMITED** (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2021 (“Review Period”) in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”)

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the Company during the Audit Period)**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the Company during the Audit Period)**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable to the Company during the Audit Period)**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the Company during the Audit Period)**

Reg. Office: A- 302, Old Ashok Nagar, Vazira Naka, L .T. Road, Borivali west, Mumbai 400091
Branch Office: 26, Gayatri Industrial Estate, Navghar Vasai (E), Palghar- 401210
M.No:7045000841 / E-mail:- corporateissues1991@gmail.com

SCP & CO.

Company Secretaries

- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable to the Company during the Audit Period)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories & Participants) Regulation, 2018 (To the extent applicable)

And based on the above examination, we hereby report that, during the Review Period:

- A. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder

Sr. No	Compliance Requirement (Regulations/circulars/ guidelines/ including specific clause)	Deviation	Observations/ Remarks of the Practicing Company Secretary
1	Reg 19(1) & 19 (2) of the SEBI (LODR) 2015: Nomination & Remuneration Committee shall comprise of at least three directors and all directors of the committee shall be non-executive directors	Non-Compliances with respect to the composition of the Nomination & Remuneration Committee	Non-Compliances with respect to the composition and Remuneration committee during the period from October 14, 2020 to February 14, 2021
2	Reg 18 (1) (a) of the SEBI (LODR) 2015: Audit Committee shall comprise of minimum three directors as members.	Non-compliance with respect to the composition of Audit Committee	The Composition of Audit Committee Meeting was two members only on November 14, 2020 & February 13, 2021.

- B. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- C. The following are the details of actions taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars / guidelines issued thereunder:

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Sr.No	Action taken by	Details of violation	Details of action taken e.g. Fines, warning Letters, debarment, etc	Observations/ remark of the Practicing Company Secretary
1	BSE	Regulation 19(1)/ 19(2)	Fine of Rs.113,280 (One Lakh Thirteen Thousand Two Hundred Eighty Only)	Non-Compliances with respect to the composition and Remuneration committee during the period from October 14, 2020 to February 14, 2021

D. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.No	Observations of the Practicing Company Secretary in previous reports	Observations made in the secretarial compliance report for the year ended March 31, 2021	Action taken by the listed entity; if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				

For SCP & Co

Company Secretaries

Swapnil
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Date: 2021.06.26
11:36:22 +05'30'

Swapnil Pande

M.NO A44893 C.P.No 21962

Place: Mumbai

Date: June 26, 2021

UDIN: A044893C000519356

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